re:Invent DECEMBER 2 - 6, 2024 | LAS VEGAS, NV

SEC323

The AWS approach to secure generative Al

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Agenda



AWS approach to secure & responsible AI

Security in the AWS generative AI tech stack

Integrating AWS security services into your generative AI application

Generative Al brings
promising new innovation
and, at the same time,
raises new risks and
challenges

Responsible AI dimensions

FAIRNESS

Considering impacts on different groups of stakeholders

EXPLAINABILITY

Understanding and evaluating system outputs

CONTROLLABILITY

Having mechanisms to monitor and steer Al system behavior

SAFETY

Preventing harmful system output and misuse

PRIVACY AND SECURITY

Appropriately obtaining, using, and protecting data and models

GOVERNANCE

Incorporating best practices into the AI supply chain, including providers and deployers

TRANSPARENCY

Enabling stakeholders to make informed choices about their engagement with an Al system

VERACITY AND ROBUSTNESS

Achieving correct system outputs, even with unexpected or adversarial inputs



Decomposing responsible AI



Safety

- System design to prevent harmful system output, encourage accurate and relevant responses, and align with goals for fairness, bias, and desired brand voice
- Risks can only be *managed* through application of services such as Amazon Bedrock Guardrails
- Current systems can only address this risk probabilistically, and customers will need to calibrate the acceptable risk before deployment



Security

- System design to prevent revealing sensitive/confidential information to unauthorized users
- Best practice is to use *traditional security controls* to filter all data before it enters the foundation model based on an end user identity
- Uses deterministic, auditable, and explainable controls
- Any failure of the system where users can be exposed to unauthorized content should be considered a blocker



Generative AI Security Scoping Matrix

A MENTAL MODEL TO CLASSIFY USE CASES

SCOPE 1

Consumer App

Using 'public' generative AI services

Ex: Amazon PartyRock, ChatGPT, Midjourney

SCOPE 2

Enterprise App

Using an app or SaaS with generative Al features

Ex: Salesforce Einstein GPT, Amazon Q

SCOPE 3

Pre-trained Models

Building your app on a versioned model

Ex: Amazon Bedrock base models

SCOPE 4

Fine-tuned Models

Fine-tuning a model on your data

Ex: Amazon Bedrock customized models, Amazon SageMaker JumpStart

SCOPE 5

Self-trained Models

Training a model from scratch on your data

Ex: Amazon SageMaker

Securing Generative Al

Governance & Compliance

Legal & Privacy

Risk Management

Controls

Resilience



Security guidelines for generative Al

COMPLIANCE AND GOVERNANCE

The policies, procedures, and reporting needed to empower the business while minimizing risk

Create generative Al usage guidelines

Establish process for output validation

Develop monitoring and reporting processes

LEGAL AND PRIVACY

The specific regulatory, legal, and privacy requirements for using or creating generative Al solutions

Retain control of your data

Encrypt data in transit and at rest

Support regulatory standards

CONTROLS

The implementation of security controls that are used to mitigate risk

Human-in-the-loop

Explainability and audibility

Testing strategy

Identity and access management

RISK MANAGEMENT

Identification of potential threats to generative AI solutions and recommended mitigations

Threat modeling

Third-party risk assessments

Ownership of data, including prompts and responses

RESILIENCE

How to architect generative AI solutions to maintain availability and meet business SLAs

Data management strategy

Availability

High availability and disaster recovery strategy



Generative Al stack



APPLICATIONS THAT LEVERAGE LLMs AND OTHER FMs









Amazon Q in Connect

TOOLS TO BUILD WITH LLMs AND OTHER FMs



Guardrails | Agents | Studio | Customization capabilities | Custom model import

INFRASTRUCTURE FOR FM TRAINING AND INFERENCE



















Foundation layer: Infrastructure



Generative AI stack: Infrastructure layer



APPLICATIONS THAT LEVERAGE LLMs AND OTHER FMs

TOOLS TO BUILD WITH LLMs AND OTHER FMs

INFRASTRUCTURE FOR FM TRAINING AND INFERENCE









GPUs AWS Trainium AWS Inferentia AWS Amazon SageMaker



UltraClusters





Amazon EC2 Elastic Fabric Amazon EC2 Adapter Capacity Blocks for ML Nitro System



Neuron

Securing Al infrastructure

ZERO ACCESS TO SENSITIVE AI DATA



Read the blog

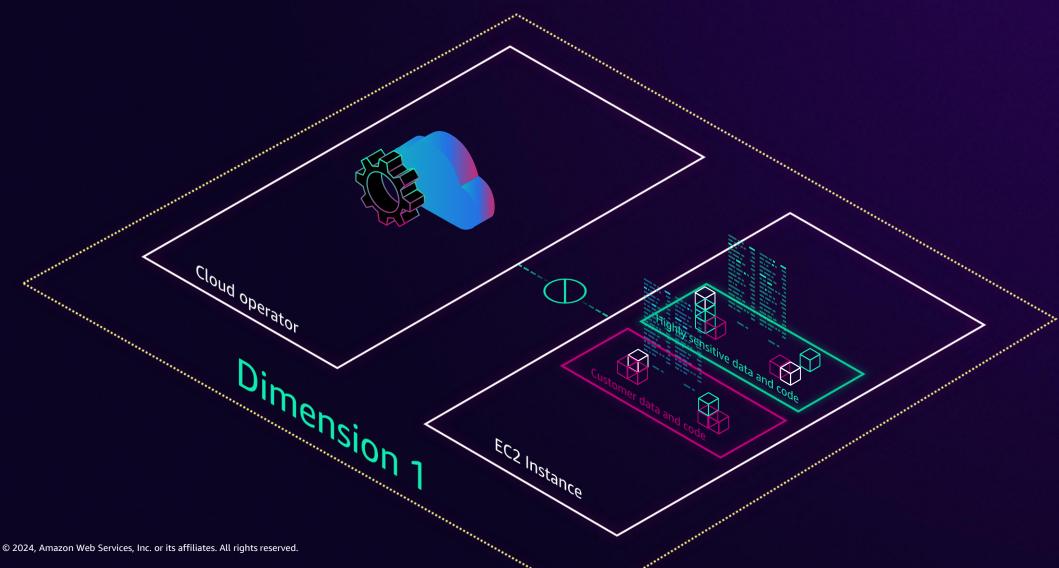
(1) Complete isolation of the AI data from the infrastructure operator

2 Ability for customers to isolate AI data from themselves

3 Protected infrastructure communication

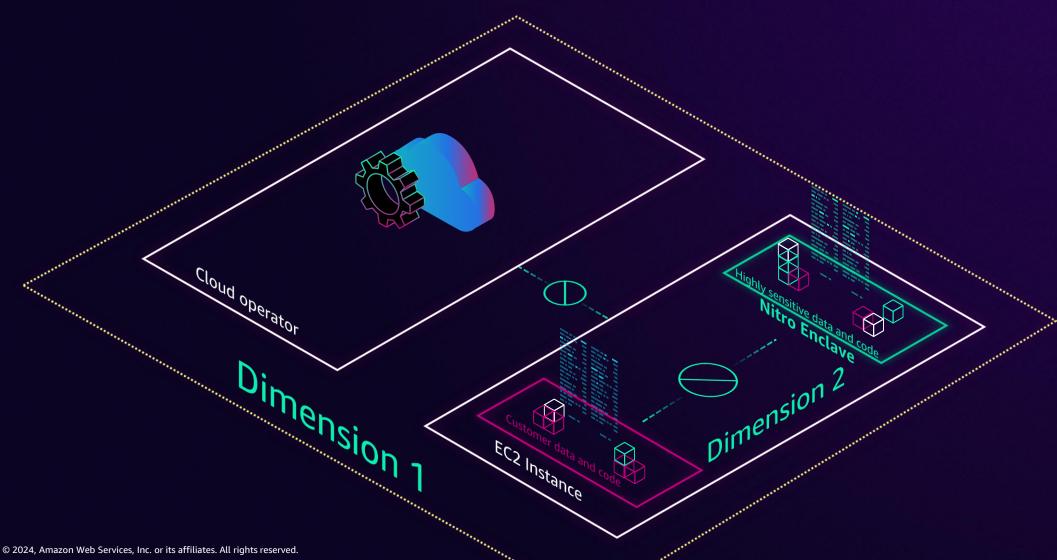
Confidential computing

PROTECTING CUSTOMER CODE AND SENSITIVE DATA IN USE



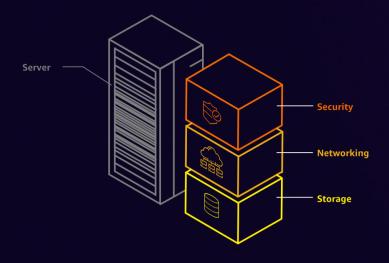
Confidential computing

PROTECTING CUSTOMER CODE AND SENSITIVE DATA IN USE



Confidential computing capabilities

AWS Nitro System



AWS Nitro Enclaves

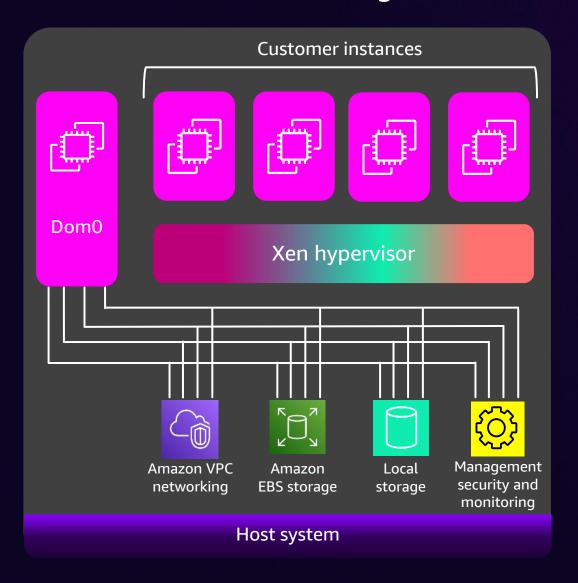


From AWS Operators ISOLATION Within Customer Environments

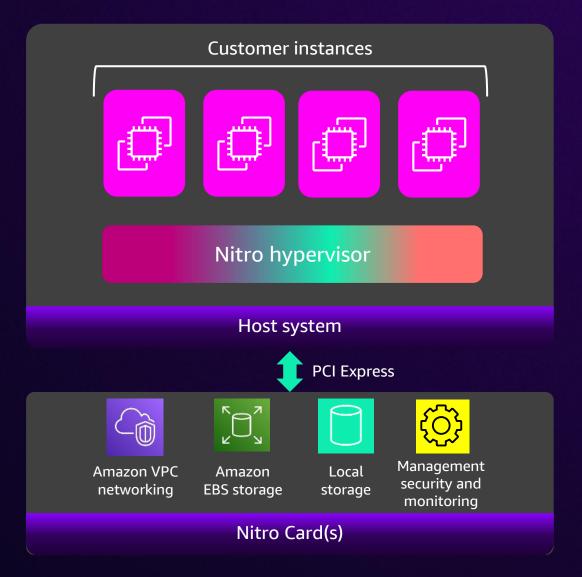
Dimension 1 PROTECTION Dimension 2



Before Nitro System



With Nitro System





Nitro System core components

Nitro Cards



- Local NVMe storage
- Elastic block storage
- Networking, monitoring, and security

Nitro Security Chip



- Integrated into motherboard
- Protects hardware resources

Nitro Hypervisor



- Lightweight hypervisor
- Memory and CPU allocation
- Bare-metal-like performance



The Nitro System is the foundation for AWS

- All Amazon EC2 instance types released since 2018 are powered by the Nitro System
- Secure boot process based on a hardware root of trust ensures that every component is signed and every operation is pre-vetted for safety
- Every critical element of Nitro System can be live-updated
- Transparent encryption of storage, networking, and memory



Nitro-based Amazon EC2 server

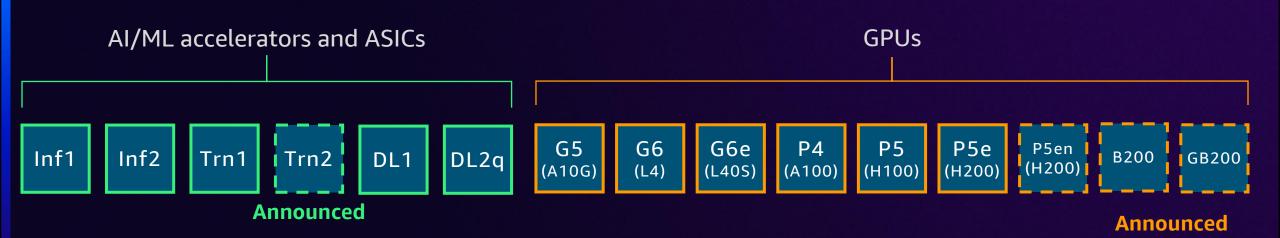
"Always-on" Confidential Computing

- There is **no operator access** mechanism in the Nitro System design
- No SSH or general purpose access of any kind
- All Nitro operations are done via secure, authenticated, authorized, logged (and audited) administrative APIs
- No APIs provide access to customer data



Nitro-based Amazon EC2 server

EC2 Accelerated Compute Instances for AI/ML







Qualcom

AMDA



Trainium Inferentia H100, H200, B200, GB200, A100, L40S, A10G

Cloud AI100 Standard

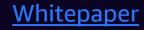
Radeon GPU
Xilinx accelerator
Xilinx FPGA

Gaudi accelerator



Assurances and design information





Security design of the AWS Nitro System



<u>3rd party validation – Nitro Security</u>

NCC Group report - "no gaps in the Nitro System that would compromise these security claims"



AWS Service Terms

Updated service terms to reflect "no operator access"



Nitro System key capabilities

NitroTPM



- Trusted Platform Module device attached to your EC2 instance
- Gather and attest an EC2 instance's state, store and generate cryptographic data, and prove platform identity

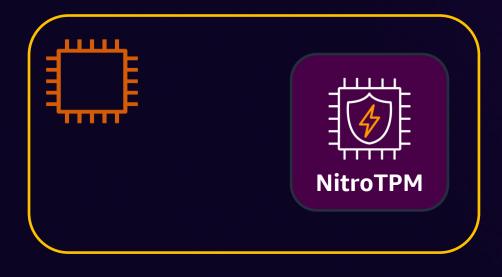
Nitro Enclaves



- Isolated compute environment
- Provides attestation with first class integration with AWS KMS



Attestation with Nitro Trusted Platform Module





Proof of instance's state and identity



Attestation document

What is AWS Nitro Enclaves?



Amazon EC2 instance



What is AWS Nitro Enclaves?



Amazon EC2 instance

Nitro enclave

Nitro Enclaves provides additional isolation for data in use



AWS Nitro Enclaves features and benefits



Additional isolation and security



Flexible



Cryptographic attestation

- No storage, access, or networking
- Secure local channel with parent EC2

- Processor-agnostic technology
- Combinations of CPU and memory

- Proves its identity and authorizes code
- Creates its own key pair and integrated with AWS KMS

No additional cost



Nitro Enclaves provides proof of identity

I'm Enclave A and here is the proof





Attestation document signed by Nitro Hypervisor

Attestation document – hashes

Enclave image

Application in the enclave

Parent instance ID

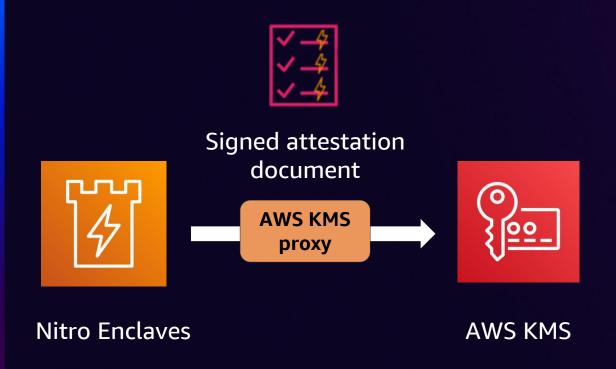
AWS Identity and Access Management (IAM)

Role of parent instance

User defined info, e.g., Nonce



1st class integration with AWS KMS

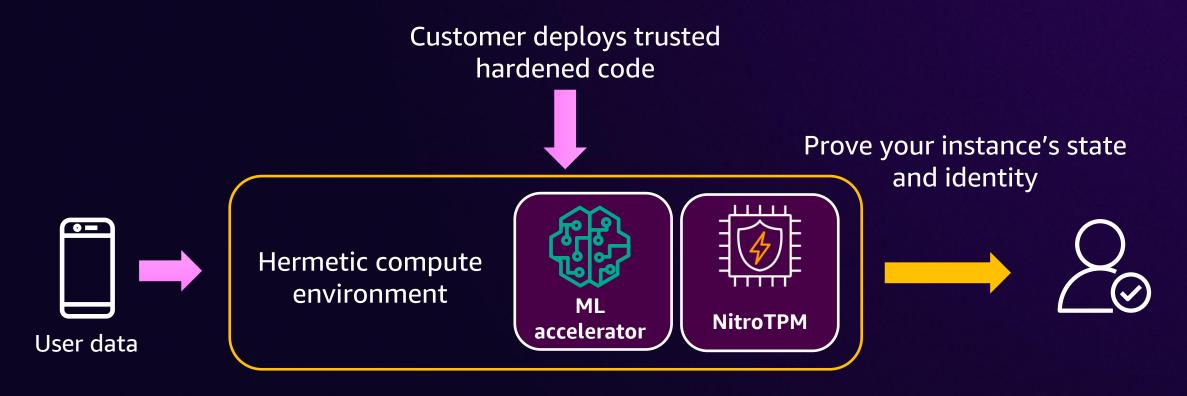


 The enclave can prove its identity to AWS KMS with attestation document

- AWS KMS validates document against the AWS KMS key policy
- Enclave can perform cryptographic operations with AWS KMS keys

Confidential inference

ASSURANCE THAT USER DATA IS ONLY PROCESSED IN A TRUSTED ENVIRONMENT



Nitro-based EC2 instance



End-to-end protection during inferencing

INTEGRATION SOLUTION BETWEEN NITRO ENCLAVES AND AWS KMS



Extending the Nitro System's end-to-end protection to ML accelerators

Coming Soc

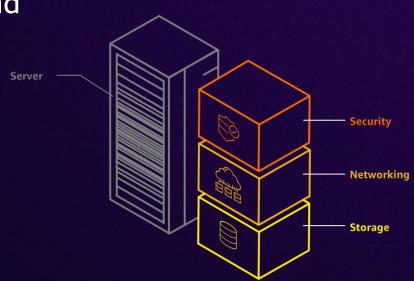
AWS Nitro System

Encrypt your sensitive AI data using keys that you own and control

Store that data in a location of your choice

Securely transfer the encrypted data to an isolated compute environment for inferencing

Planned for the upcoming NVIDIA GB200 NVL72 and Trainium2





Tooling layer: Services for builders



Generative AI stack: Tooling layer



APPLICATIONS THAT LEVERAGE LLMs AND OTHER FMs

TOOLS TO BUILD WITH LLMs AND OTHER FMs

Amazon Bedrock

Guardrails | Agents | Studio | Customization capabilities | Custom model import

INFRASTRUCTURE FOR FM TRAINING AND INFERENCE





















The easiest way to build and scale generative AI applications with foundation models (FMs)



Accelerate development of generative Al applications using FMs through an API, without managing infrastructure



Choose FMs from Amazon, Al21 Labs, Anthropic, Cohere, Meta, Mistral Al, and Stability Al to find the right FM for your use case



Built with data security, privacy, and safety in mind



Amazon Bedrock

BROAD CHOICE OF MODELS

Al21 labs	amazon	ANTHROP\C	c ohere	∞ Meta	MISTRAL AI_	stability.ai
Contextual answers, summarization, paraphrasing	Text summarization, generation, Q&A, search, image generation	Summarization, complex reasoning, writing, coding	Text generation, search, classification	Q&A and reading comprehension	Text summarization, text classification, text completion, code generation, Q&A	High-quality images and art
Jamba-Instruct	Amazon Nova Micro, Lite, Pro	Claude 3.5 Sonnet	Command	Llama 3.1	Mistral Large 2 (24.07)	Stable Diffusion XL1.0
Jurassic-2 Ultra		Claude 3 Opus	Command Light	Llama 3 8B	Mistral Large (24.02)	Stable Diffusion
Jurassic-2 Mid	Amazon Nova Canvas & Reel	Claude 3 Sonnet	Embed English	Llama 3 70B	Mistral Small	XL 0.8
	Amazon Titan Text	Claude 3 Haiku	Embed Multilingual	Llama 2 13B	Mixtral 8x7B	
	Amazon Titan Embeddings	Claude 2.1	Command R+	Llama 2 70B	Mistral 7B	
		Claude 2	Command R			
	Amazon Titan Multimodal Embeddings	Claude Instant				



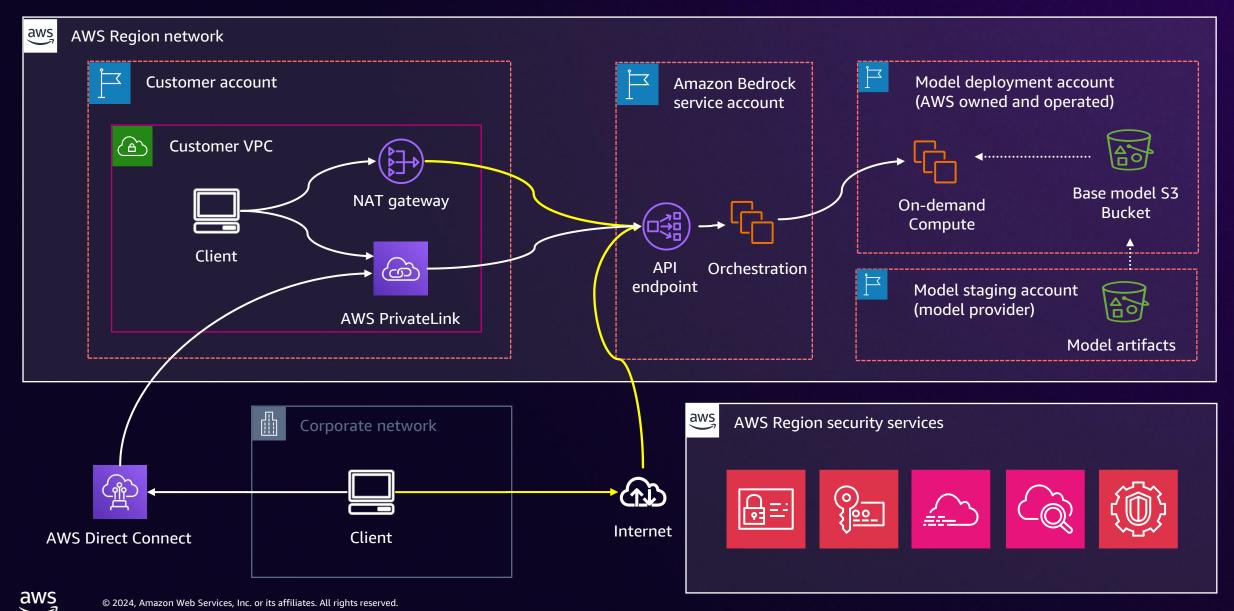
Amazon Titan Image Generator

Amazon Bedrock keeps data secure and private

- None of the customer's data is used to train the underlying foundational models
- All data is encrypted at rest using AWS Key Management Service (KMS) and encrypted in transit with TLS 1.2 (minimum)
- Support for AWS PrivateLink so customers can establish private connectivity between virtual private clouds (VPCs) and the Amazon Bedrock service using VPC endpoints
- Fine-tuned models are encrypted and stored using customer AWS KMS key; only you have access to your customized models
- Data used to customize models remains within your VPC
- Integration with IAM for fine-grained access controls, and Amazon GuardDuty for threat detection
- Support for data privacy standards, including GDPR, HIPAA, and PCI



Amazon Bedrock data flows

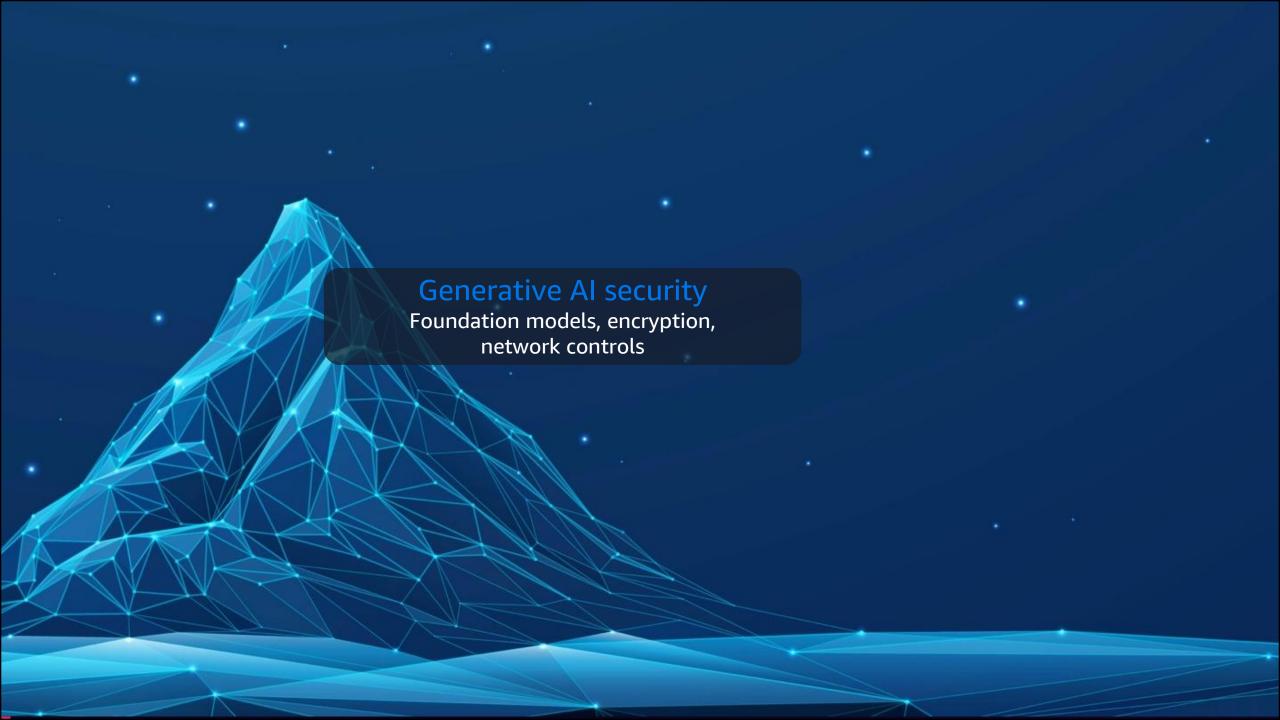


Governance and auditability support



Comprehensive monitoring and logging capabilities

- Track usage metrics and build customized dashboards using Amazon CloudWatch
- Monitor API activity and troubleshoot issues as you integrate other systems into your applications using AWS CloudTrail
- Compliance standards: C5, CISPE, DoD CC SRG IL2, ENS High, FINMA, FedRAMP (Moderate/High), GDPR, HIPAA BAA, ISMAP, ISO and CSA STAR, MTCS, OSPAR, PCI, Pinakes, PiTuKri, SOC 1, 2, and 3



Generative AI security

Foundation models, encryption, network controls

Storage

Structured and unstructured data

Operational databases

SQL, NoSQL, document, graph, vector

Analytics and data lakes

Search, streaming, batch, interactive

Data integration

Capture, transformation, streaming

Data governance

Catalog, quality, privacy, access controls





RETRIEVAL AUGMENTED GENERATION (RAG

Embed specialized knowledge through prompt augmentation
Use existing enterprise knowledge corpus
No change to the foundation model









AI AGENTS

Enable multi-step workflows using your enterprise systems

Take actions & query real-time data via APIs at inference time

No change to the foundation model





MODEL CUSTOMIZATION

Generalized and specialized knowledge for your domain

Fine-tuning and continued pre-training with enterprise data

Create a private copy of the foundation model



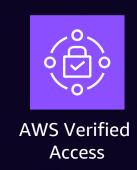
Limit access to your data, models, and outputs

USE IDENTITY AND ZERO TRUST TO CONTROL ACCESS TO DATA IN GENERATIVE AI WORKLOADS







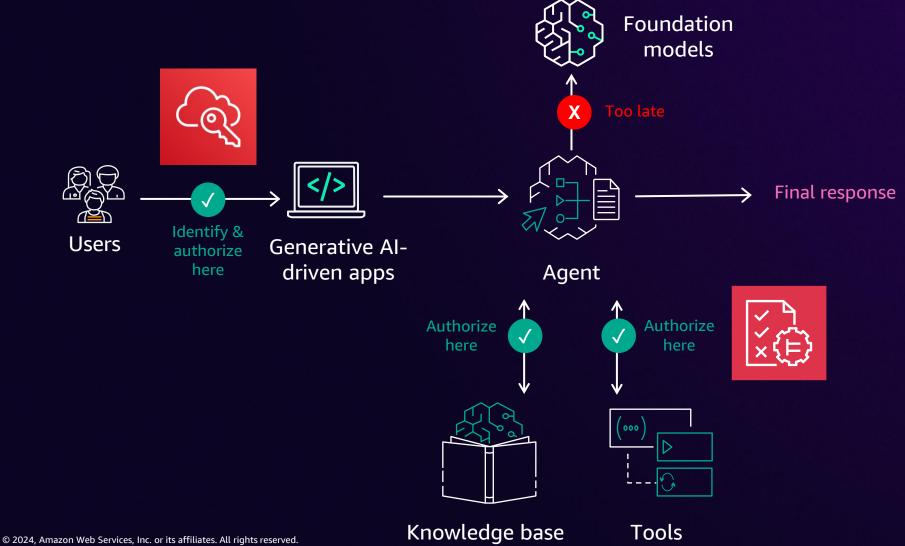


- Apply a policy of least privilege to training data, models, and applications using AWS IAM Identity Center and IAM Access Analyzer.
- Explore further Zero Trust capabilities to add fine-grained access controls with AWS Verified Access and Amazon Verified Permissions.

 Use AWS Verified Access to further eliminate the costs, complexity and performance issues related to VPNs.

Integrated security and identity

INTEGRATING TRADITIONAL SECURITY MECHANISMS





Evaluate prompts and model responses for agents, knowledge bases, FMs in Amazon Bedrock, and custom or third-party FMs

Amazon Bedrock Guardrails

Implement safeguards customized to your application requirements and aligned to your responsible AI policies

Blocks as much as 85% more harmful content than protection natively provided by some FMs on Amazon Bedrock today and filters over 75% of hallucinated responses for RAG and summarization workloads



Configure thresholds to filter harmful content, jailbreaks, and prompt injection attacks



Define and disallow denied topics with short natural language descriptions



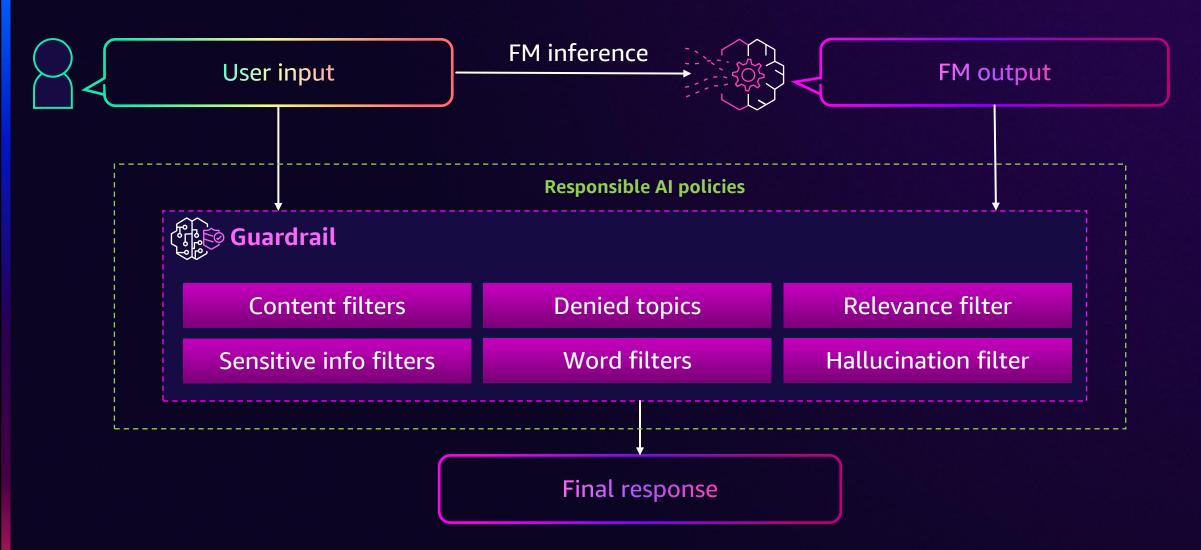
Remove personally identifiable information (PII) and sensitive information in gen AI apps



Filter hallucinations by detecting groundedness and relevance of model responses based on context



How it works: Amazon Bedrock Guardrails





Application layer: Enterprise-ready solutions



Generative Al stack: Enterprise applications



APPLICATIONS THAT LEVERAGE LLMs AND OTHER FMs









Amazon Q in Connect

TOOLS TO BUILD WITH LLMs AND OTHER FMs



INFRASTRUCTURE FOR FM TRAINING AND INFERENCE













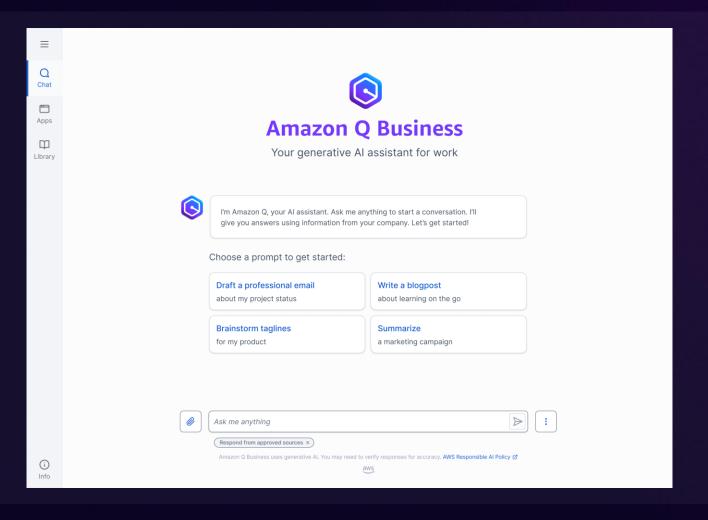






Amazon Q Business overview

BOOST YOUR WORKFORCE PRODUCTIVITY WITH GENERATIVE A



- Delivers quick, accurate, and relevant answers to your business questions, securely and privately
- Execute actions using out-of-thebox or custom plugins
- Respects existing access control based on user permissions
 - Connects to over 40 popular enterprise applications and document repositories
- Enables administrators to easily apply guardrails to customize and control responses
- Streamlines daily tasks with usercreated lightweight applications

Safety and security



Use pre-built guardrails for toxicity



Restrict responses to enterprise content only



Specify blocked words or phrases that never appear in responses



Define special topics and configure guardrails for such topics



Use AWS PrivateLink to access Amazon Q Business securely in your VPC environment using a VPC endpoint



Integrates with IAM Identity Center for easier management, auditability, and control



Integrates with AWS CloudTrail to record actions taken by a user, role, or an AWS service



Support for Federal Information Processing Standard (FIPS) endpoints



Data privacy and governance

You are always in control of your data



- Amazon Q Business uses pre-trained machine learning models
- Customer data (i.e., data ingested into Amazon Q Business, conversation data, as well as feedback data) is not used for training, fine-tuning, or improving machine learning models by AWS



- Amazon Q Business is a regional service: Customer data is stored in the region where the Amazon Q Business App is created
- Customer data is always encrypted in transit with a minimum of TLS1.2
- Amazon Q Business encrypts all the data at rest using AWS Key Management Service (KMS)

Find accurate and referenceable answers



Trusted answers generated from enterprise data



In-context conversations



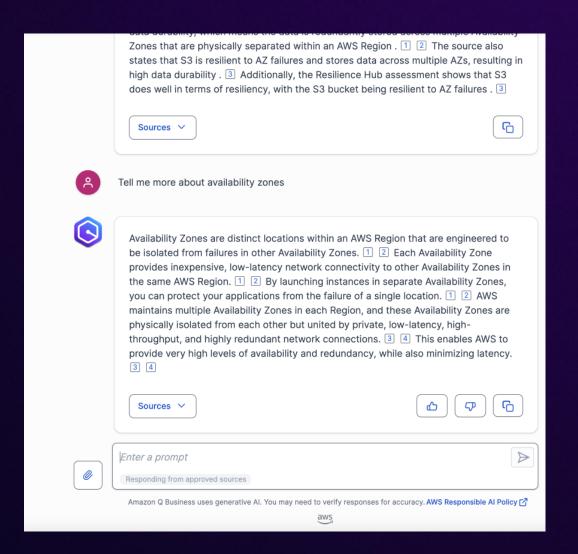
Source references for fact-checking



Conversation history

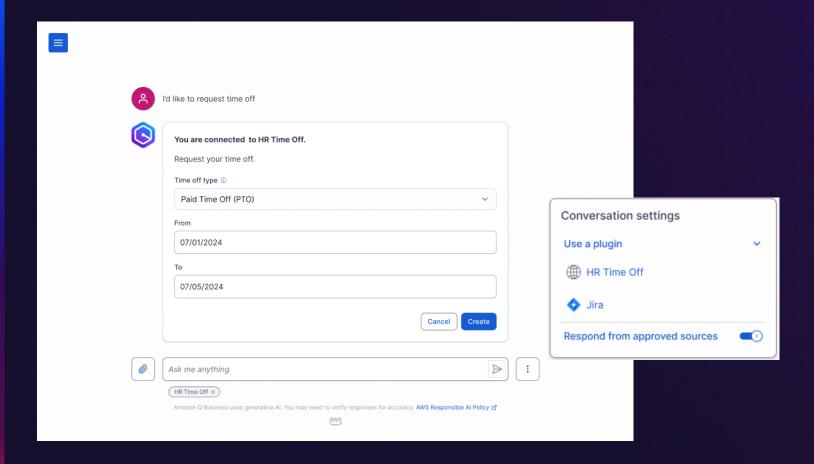


Tune the relevance of results with index boosting





Custom plugins



Use custom plugins to access and update information in enterprise systems such as Vanguard, ADP, Dynamics 365, or Microsoft Exchange using natural language queries in Amazon Q Business to enable tasks such as scheduling meetings, capturing meeting notes, getting or updating sales information, and more!

Putting it all together



Defense-in-depth security

LAYERED SECURITY CONTROLS FOR GENERATIVE AI

Policies, procedures and awareness

Network and edge protection

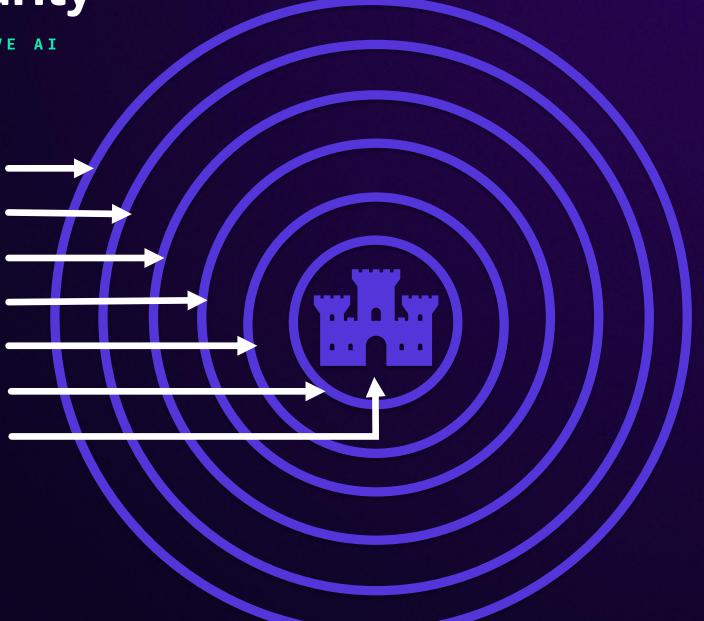
Identity and access management

Threat detection and incident response

Infrastructure protection

Application protection

Data protection





AWS generative AI and security integrated together

FOUNDATIONAL AWS SECURITY + ADDITIONAL SECURITY FEATURES OF GENERATIVE AI SERVICES

AWS generative AI services



Amazon Bedrock



SageMaker



Amazon O **Business**



Developer



Amazon CodeGuru Security

AWS security, identity, and compliance services



AWS **Security Hub**



KMS



Amazon GuardDuty



AWS Shield **Advanced**



AWS AWS Network Firewall



AWS Audit Manager



Amazon Macie



Amazon Inspector



Amazon Detective



AWS IAM AWS IAM Identity **Analyzer**



Access

Amazon Verified Permissions



AWS Artifact AWS Signer

AWS cloud ops, networking, and storage



AWS CloudTrail



CloudWatch



AWS Systems



Config



AWS Trusted Advisor



AWS Well-Architected Tool



AWS Verified Access



Amazon **VPC**



PrivateLink



Amazon S3 Object Lock



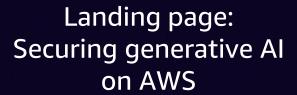
AWS

Backup

aws

Resources







Blog post:
A secure approach to generative AI with AWS



Blog post: Data authorization in generative AI apps



Thank you!

Jason Garman garmaja@amazon.com JD Bean jdbean@amazon.com



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